

# Holding of Specified Securities

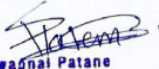
## Annexure - I

1. Name of Listed Entity:	LUMAX AUTO TECH LTD
2. Scrip Code/Name of Scrip/Class of Security	532796
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
a. If under 31(1)(b) then indicate the report for Quarter ending	31-03-2016
b. If under 31(1)(c) then indicate date of allotment/extinguishment	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No*
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No*
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No*
4	Whether the Listed Entity has any shares in locked-in?		No*
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No*

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

For LUMAX AUTO TECHNOLOGIES LTD

  
Swapnal Patane  
Company Secretary  
M. No. ACS 27424

**Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES**

Category (I)	Category of shareholder (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities( as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class Equity x	Class Others y								
(A)	Promoter & Promoter Group	9	7588535	0	0	7588535	55.6689	7588535	0	7588535	55.6689	0	0.0000	0	0.0000	7588535	
(B)	Public	8442	6043006	0	0	6043006	44.3311	6043006	0	6043006	44.3311	0	0.0000	NA	NA	5968792	
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	NA	NA	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
	<b>Total</b>	<b>8451</b>	<b>13631541</b>	<b>0</b>	<b>0</b>	<b>13631541</b>		<b>13631541</b>	<b>0</b>	<b>13631541</b>	<b>100.0000</b>	<b>0</b>	<b>0.0000</b>			<b>13557327</b>	

For LUMAX AUTO TECHNOLOGIES LTD

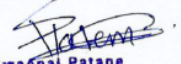
  
 Swapnal Patane  
 Company Secretary  
 M. No. ACS 27424



Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	PAN (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (b)		
								Class Equity x	Class Others y									Total
<b>1 Institutions</b>																		
(a) Mutual Funds		2	538638	0	0	538638	3.9514	538638	0	538638	3.9514	0	3.9514	0	0.0000	NA	NA	538638
SBI MAGNUM MULTIPLIER FUND	AABTS6407Q	1	418292	0	0	418292	3.0686	418292	0	418292	3.0686	0	3.0686	0	0.0000	NA	NA	418292
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f) Financial Institutions/ Banks		2	3551	0	0	3551	0.0260	3551	0	3551	0.0260	0	0.0260	0	0.0000	NA	NA	3551
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i) Any Others (FII'S)		4	1891945	0	0	1891945	13.8792	1891945	0	1891945	13.8792	0	13.8792	0	0.0000	NA	NA	1891945
ASIA INVESTMENT CORPORATION (MAURITIUS) LTD	AAFCA0513L	1	650000	0	0	650000	4.7684	650000	0	650000	4.7684	0	4.7684	0	0.0000	NA	NA	650000
ALBULA INVESTMENT FUND LTD	AAHCA3597Q	1	1231627	0	0	1231627	9.0351	1231627	0	1231627	9.0351	0	9.0351	0	0.0000	NA	NA	1231627
<b>SUB TOTAL (B)(1)</b>		<b>8</b>	<b>2434134</b>	<b>0</b>	<b>0</b>	<b>2434134</b>	<b>17.8566</b>	<b>2434134</b>	<b>0</b>	<b>2434134</b>	<b>17.8566</b>	<b>0</b>	<b>17.8566</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>2434134</b>
<b>2 Central / State government(s)</b>																		
(a) Central Government/ State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
<b>SUB TOTAL (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
<b>3 Institutions</b>																		
(a) Central Government/ State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
<b>SUB TOTAL (B)(3)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
<b>4 Non-institutions</b>																		
(a) Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		7982	2018660	0	0	2018660	14.8087	2018660	0	2018660	14.8087	0	14.8087	0	0.0000	NA	NA	2018656
(a) INDIVIDUAL - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		13	550556	0	0	550556	4.0388	550556	0	550556	4.0388	0	4.0388	0	0.0000	NA	NA	550556
(b) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Any Others (BODIES CORPORATE)		178	634880	0	0	634880	4.6574	634880	0	634880	4.6574	0	4.6574	0	0.0000	NA	NA	634880
PRIYANKA FINANCE PRIVATE LIMITED	AAACP4293K	1	239964	0	0	239964	1.7604	239964	0	239964	1.7604	0	1.7604	0	0.0000	NA	NA	239964
(e) Any Others (CLEARING MEMBER)		62	83478	0	0	83478	0.6124	83478	0	83478	0.6124	0	0.6124	0	0.0000	NA	NA	83478
(e) Any Others (NON RESIDENT INDIANS (NRI))		197	269346	0	0	269346	1.9759	269346	0	269346	1.9759	0	1.9759	0	0.0000	NA	NA	195136
(e) Any Others (OVERSEAS BODIES CORPORATES)		1	51652	0	0	51652	0.3789	51652	0	51652	0.3789	0	0.3789	0	0.0000	NA	NA	51652
(e) Any Others (TRUSTS)		1	300	0	0	300	0.0022	300	0	300	0.0022	0	0.0022	0	0.0000	NA	NA	300
<b>SUB TOTAL (B)(4)</b>		<b>8434</b>	<b>3608872</b>	<b>0</b>	<b>0</b>	<b>3608872</b>	<b>26.4744</b>	<b>3608872</b>	<b>0</b>	<b>3608872</b>	<b>26.4744</b>	<b>0</b>	<b>26.4744</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>3534658</b>
<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>		<b>8442</b>	<b>6043006</b>	<b>0</b>	<b>0</b>	<b>6043006</b>	<b>44.3311</b>	<b>6043006</b>	<b>0</b>	<b>6043006</b>	<b>44.3311</b>	<b>0</b>	<b>44.3311</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>5968792</b>

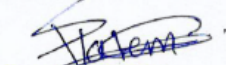


Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

Category & Name of the shareholders (I)	PAN (II)	No. of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total no. shares held (VII)= (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities( as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
								Class Equity x	Class Others y									Total
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note  
 (1) PAN would not be displayed on website of Stock Exchange(s).  
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.  
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

For LUMAX AUTO TECHNOLOGIES LTD

  
 Swapnal Patane  
 Company Secretary  
 M. No. ACS 27424